Tissue hemoglobin monitoring of progressive central hypovolemia in humans using broadband diffuse optical spectroscopy

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Abstract. We demonstrate noninvasive near-infrared diffuse optical spectroscopy (DOS) measurements of tissue hemoglobin contents that can track progressive reductions in central blood volume in human volunteers. Measurements of mean arterial blood pressure (MAP), heart rate (HR), stroke volume (SV), and cardiac output (Q) are obtained in ten healthy human subjects during baseline supine rest and exposure to progressive reductions of central blood volume produced by application of lower body negative pressure (LBNP). Simultaneous quantitative noninvasive measurements of tissue oxyhemoglobin (OHB), deoxyhemoglobin (RHb), total hemoglobin concentration (THb), and tissue hemoglobin oxygen saturation (S₉O₂) are performed throughout LBNP application using broadband DOS. As progressively increasing amounts of LBNP are applied, HR increases, and MAP, SV, and Q decrease (p<0.001). OHb, S₉O₂, and THb decrease (p < 0.001) in correlation with progressive increases in LBNP, while tissue RHb remained relatively constant (p=0.378). The average fractional changes from baseline values in DOS OHb (fOHB) correlate closely with independently measured changes in SV (r²=0.95) and Q (r²=0.98) during LBNP. Quantitative noninvasive broadband DOS measurements of tissue hemoglobin parameters of peripheral perfusion are capable of detecting progressive reductions in central blood volume, and appear to be sensitive markers of early hypoperfusion associated with hemorrhage as simulated by LBNP. © 2008 Society of Photo-Optical Instrumentation Engineers. [DOI: 10.1117/1.3041712]

Keywords: hemorrhagic shock; lower body negative pressure; hemodynamic decompensation.

Paper 08187R received Jul. 3, 2008; revised manuscript received Oct. 10, 2008; accepted for publication Oct. 10, 2008; published online Dec. 16, 2008.

1 Introduction

Hemorrhage resulting from injuries is the leading cause of preventable mortality in both civilian and military trauma settings, and has been reported to be responsible for up to 80% of civilian trauma deaths and 50% of combat-related deaths. Outcomes might be improved if the severity of blood loss is recognized early in the prehospital setting. Thus, new approaches for early detection of blood loss in prehospital and combat environments, as well as in hospitalized patients, are essential in civilian and military medicine.

Several criteria have been developed in an attempt to help determine injury severity, mode of transport, and treatment prioritization of trauma patients. However, most of these existing assessment tools currently rely on standard vital signs data (e.g., blood pressure and heart rate) because of the ready availability of these parameters on site. The sensitivity and specificity of such assessment tools in detecting early hemorrhage is limited by the complex, dynamic physiology and compensatory reflex responses of the hemorrhaging patient, particularly in the trauma setting. During early volume loss, reflex cardiovascular and neurohormonal mechanisms act to maintain normal arterial pressures, with only mild tachycardia being apparent. Specifically, autonomically mediated reflexes initiate strong sympathetic responses that result in intense vasoconstriction and help defend against severe hypotension. Thus, the degree of blood volume loss can be masked, particularly in the critical early stages following injury, and subsequently may not provide important information needed for decision support in recognition of the severity of injury.
A number of other methods for assessment of the degree of hemorrhage have also been proposed, including pulse pressure monitoring, end tidal carbon dioxide partial pressure monitoring, and assessment of heart rate variability. The role of each of these variables alone and in combination continues to be investigated.

Thus, at this time, the process and complex practice of prehospital trauma care, field triage, and in-hospital recognition of early hemorrhage may be significantly advanced by developing novel noninvasive, physiologic measurement capabilities that could provide more accurate indicators of early blood volume loss and impending circulatory collapse.

A recently developed technology, broadband diffuse optical spectroscopy (DOS), has the potential to noninvasively assess the physiologic changes associated with early hemorrhage-induced reductions in tissue perfusion. Broadband DOS is a near-infrared (NIR) optical spectroscopic approach that can measure complex tissue scattering induced light signal attenuation, and use this information in the determination of broadband NIR absorption spectra in tissues. This information can then be translated into quantitative measurements of the NIR absorbing constituents of the tissue, including oxyhemoglobin (OHB), deoxyhemoglobin (RHb), total hemoglobin concentration (THb), and tissue hemoglobin oxygen saturation ($S_02$), as well as tissue water and lipid concentrations.

In this study, we investigated the ability of broadband DOS to quantitatively detect changes in peripheral perfusion occurring in early central blood volume reduction induced by lower body negative pressure (LBNP) in healthy volunteers. In the event of hemorrhagic hypovolemia, most blood volume loss comes from constriction of the arterials and primarily veins. In addition, there is reduction in intravascular hematocrit over time with hypovolemic hemorrhage. A decrease in venous volume leads to a decrease in venous return and preload resulting in a decrease in cardiac output. LBNP has been shown to mimic the acute hemodynamic and autonomic responses associated with actual hemorrhage. In the present investigation, we compared noninvasive broadband DOS measures of OHb, RHb, THb, and $S_02$ to standard measures of heart rate, mean arterial pressure, stroke volume, and cardiac output, at rest and during graded exposure to LBNP, to test the hypothesis that broadband DOS measured changes directly correlate with reductions in central blood volume in humans exposed to a noninvasive model of progressive central hypovolemia.

2 Materials and Methods

2.1 Human Subject Approval and Consent

This protocol was approved by the Institutional Review Boards at the Institute of Surgical Research, Fort Sam Houston, San Antonio, Texas, the U.S. Department of Defense, and at University of California, Irvine. Written informed consent was obtained from all participants in accordance with Federal, Department of Defense, and State Regulations. The LBNP protocol used has been previously described.

2.2 Subjects

13 healthy nonsmoking subjects were recruited to participate and ten subjects completed the procedures (6 males, 4 females) with mean ± SEM age of 23 ± 1 yr, body weight of 77.3 ± 4.3 kg, and height of 178 ± 4 cm. Data from three additional subjects were excluded due to equipment malfunctions (2 male subjects) and a female subject terminated the procedure before reaching a true presyncopal stage. A complete medical history and physical examination were obtained for each of the potential subjects. In addition, female subjects underwent an initial urine test prior to experimentation to ensure that they were not pregnant. Subjects maintained their normal sleep pattern, refrained from exercise, and abstained from caffeine and other autonomic stimulants such as prescription or nonprescription drugs for at least 24 h prior to each experimental protocol unless cleared by the physician medical screener to continue taking the medications.

During an orientation session that preceded each experiment, all subjects received a verbal briefing and a written description of all procedures and risks associated with the experiments, and were made familiar with the laboratory, the protocol, and procedures.

2.3 Lower Body Negative Pressure Model of Central Hypovolemia

LBNP was used in the present investigation as an experimental tool to simulate loss of central blood volume in humans during hemorrhage. With the use of a neoprene skirt designed to form an airtight seal between the subject and the chamber, the application of negative pressure to the lower body (below the iliac crest) with the subject in a supine position results in a redistribution of blood away from the upper body (head and heart) to the lower extremities and abdomen [Fig. 1(a)]. Thus, this model provides a unique method of investigating conditions of controlled, progressive, experimentally induced hypovolemic hypotension. Absolute equivalence between the magnitude of negative pressure applied and the magnitude of actual blood loss cannot be determined at this time, but review of both human and animal data reveal ranges of effective blood loss (or fluid displacement) caused by LBNP. Figure 1(b) illustrates the standard LBNP protocol.

2.4 Experimental Protocol

All subjects were instrumented with an infrared finger photoplethysmograph (Finometer® Blood Pressure Monitor, TNO-TPD Biomedical Instrumentation, Amsterdam, The Netherlands) and an electrocardiogram to record beat-by-beat arterial pressures and heart rate (HR). The Finometer® blood pressure cuff was placed on the middle finger of the left hand, which in turn was placed at heart level. Very accurate measurements compared to directly measured intra-arterial pressures during various physiological maneuvers have been demonstrated with this device. Mean arterial pressure (MAP) was calculated by dividing the sum of systolic blood pressure (SBP) and twice diastolic blood pressure (DBP) by three. Stroke volume (SV) was measured noninvasively with the previously described thoracic impedance measurement method. Thoracic electrical bioimpedance was measured with an HIC-2000 Bio-Electric Impedance Cardiograph (Bio-Impedance Technology, Chapel Hill, North Carolina). The thoracic electrical bioimpedance technique is based on the resistance changes in the thorax to a low-intensity (4 mA),
high-frequency (70 kHz) alternating current applied to the thorax by two surface electrodes placed at the root of the neck and two surface electrodes placed at the xiphoid process at the midaxillary line. Ventricular SV was determined with the partly empirical formula: $SV \text{ (in ml)} = \rho \times \frac{L}{Z_0}^2 \times \text{LVET} \times \frac{dZ}{dt}$, where $\rho$ (in ohm/cm) is the blood resistivity, a constant of 135 ohms/cm \textit{in vivo}; $L$ (in cm) is the mean distance between the inner band electrodes (front and back); $Z_0$ (in ohms) is the average thoracic background impedance; LVET (in seconds) is the left ventricular ejection time; and $\frac{dZ}{dt}$ is the maximum height of the $dZ/dt$ peak measured from the zero line. Correlation coefficients of 0.70 to 0.93 have been reported in SV measurements simultaneously made with thoracic electrical bioimpedance and thermodilution techniques. Cardiac output (Q) was calculated as SV multiplied by HR.

Each subject underwent exposure to the LBNP protocol designed to test their tolerance to experimentally induced hypovolemic hypotension. The LBNP protocol consisted of a 5-min rest period (0 mmHg) followed by 5 min of chamber decompression to $-15$, $-30$, $-45$, and $-60$ mmHg, and additional increments of $-10$ mmHg every 5 min until the onset of hemodynamic decompensation or the completion of 5 min at $-100$ mmHg [Fig. 1(b)]. Hemodynamic decompensation was defined by a precipitous fall in SBP greater than 15 mmHg, coincident with the onset of presyncopal symptoms such as gray-out (loss of vision), sweating, nausea, or dizziness.

2.5 Broadband Diffuse Optical Spectroscopy

Diffuse optical spectroscopy instrumentation, specifications, and application have been previously described as well. A prototype multiwavelength, frequency domain instrument (FDPM) we designed and constructed in our laboratory was combined with a steady-state NIR spectrometer to create the DOS device for the noninvasive \textit{in-vivo} assessment of changing tissue hemoglobin contents. The broadband DOS system we designed employs six laser diodes (661, 681, 783, 805, and 823, 850 nm) and a fiber-coupled avalanche photo diode (APD) detector (Hamamatsu high-speed APD module CS5658, Bridgewater, New Jersey). The APD detects the intensity-modulated diffuse reflectance signal at modulation frequencies between 50 to 300 MHz after propagating through the tissue. The absorption and reduced scattering coefficients are measured directly at each of the six laser diode wavelengths using the frequency-dependent phase and amplitude data. The reduced scattering coefficient is calculated as a function of wavelength throughout the NIR by fitting a power law to these six reduced scattering coefficients. The steady-state acquisition is a broadband reflectance measurement from 650 to 1000 nm that follows the FD measurements using a tungsten-halogen light source (Ocean Optics HL-2000, Dunedin, Florida) and a spectrometer (BWTEK BTC611E, Newark, Delaware). The broadband DOS probe houses a fiber-coupled APD detector with a rectangular contact surface (9.14 $\times$ 5.33 cm). The broadband DOS probe was placed on the right bicep securely and mechanically throughout the experiment. The bicep muscle was chosen for the measurement site mainly because of easy accessibility and the availability of large muscle volume. The source/detector separation of FD and steady-state fiber optic probes was set at 25 mm at default, but it was adjusted up to 35 mm according to detection signals on an individual basis. The intensity of the steady-state (SS) reflectance measurements are calibrated to the FD values of absorption and scattering to establish the \textit{absolute} reflectance intensity. Finally, the tissue concentrations of OHb, RHb, lipid, and water are calculated by a linear least-squares fit of the wavelength-dependent extinction coefficient spectra of each chromophore. We used OHb and RHb absorption spectra reported by Zijlstra, Buursma, and Assendelft for the subsequent fitting and analysis. We used the square bracket (i.e., [OHb], [RHb], and [THb]) to represent the tissue concentrations of chromophores.

![DOS Probe](image-url)}
2.6 Statistical Analysis

Subjects reached hemodynamic decompensation (i.e., maximal LBNP tolerance) at different absolute LBNP levels based on their individual physiological responses. Because cardiovascular decompensation occur at different LBNP levels for individual subjects, we routinely standardize the analysis by normalizing each individual’s data to equal fractions between 0% LBNP tolerance (baseline) and 100% LBNP tolerance (the level at which the LBNP protocol was terminated as a result of impending hemodynamic decompensation). This approach considers the data from all subjects relative to their maximum capacity to tolerate central hypovolemia.

Cardiovascular variables were averaged over the last 3 min of each LBNP level. One-way (LBNP level) randomized block (subjects) analysis of variance for repeated measures was used for comparison of outcome variables. When statistical differences were found, Bonferroni-corrected comparisons with baseline measurements were performed to determine the first level of LBNP that could be distinguished statistically from baseline. Similar analyses were carried out with DOS variables. All data are presented as mean ± standard error (SE), and exact p values are presented for all comparisons. A difference p < 0.05 was considered significant.

3 Results

The mean values at baseline and 100% LBNP tolerance for all variables are displayed in Table 1. Absolute values of cardiovascular variables (MAP, HR, SV, and Q) are listed as well as both absolute values (RHB, OHb, THb), and fractional changes from respective baseline values (fRHB, fOHb, and fTHb) for HR, MAP, SV, and Q within subjects. When compared with baseline values, SV showed the earliest changes indicated statistically significant alterations between baseline (0% LBNP) and 100% LBNP tolerance in terms of population mean.

Figure 2 shows HR, SV, MAP, and Q during LBNP. Repeated measures analysis of variance (ANOVA) showed that the effect of progressive LBNP is significant for HR, MAP, SV, and Q within subjects (p < 0.001). When compared with baseline values, SV showed the earliest changes (p = 0.032 at 40% maximal LBNP), while MAP did not decline significantly until 100% LBNP (p = 0.012).

Figure 3 graphically shows absolute values of [RHB], [OHb], [THb], and S_{O2} during LBNP applications. [OHb],
StO₂, and [THb] decreased with progressive increases in LBNP (p < 0.001), while tissue [RHb] remained relatively constant (p=0.378).

Large variations in baseline and subsequent absolute tissue hemoglobin concentrations among subjects at different LBNP levels are expected, since interrogating tissue volumes are inherently different from subject to subject. For instance, lipid and water fraction measured by broadband DOS ranged from 24 to 71% and 24 to 72%, respectively. These variations in part explain the wide range of [THb] from 35 to 114 μM at the baseline. However, variability in baseline broadband DOS variables can be accounted for by normalizing to fractional changes relative to baseline values. Figure 4 graphically depicts fractional changes in [RHb], [OHb], [THb], from baseline concentrations and the changes in S₅O₂ from baseline values. fOhb decreased significantly at 20% LBNP (p = 0.004), and fTHb and ΔS₅O₂ at 60% LBNP (p=0.008). fRHb did not change significantly from the baseline value. The ranges of changes in fOhb and fTHb were far greater than that of ΔS₅O₂ (−24.7 and −21.6% versus −3.7% at 100% LBNP, respectively).

To identify DOS variables that correlate with cardiovascular variables, simple Pearson correlation coefficients (r²) were calculated among variables shown in Figs. 2–4. The results are listed in Table 2. MAP and HR correlated poorly with all DOS variables, while RHb and fRHb correlated poorly with cardiovascular variables. On the other hand, [OHb], [THb], fOhb, fTHb, S₅O₂, and ΔS₅O₂ showed good correlations with SV and Q (r² > 0.88).

Figure 5(a) illustrates typical time course plots of DOS fOhb, MAP, and SV from a single subject (male, 24 yr, 191 cm, 73 kg). MAP and SV data points were extracted from the continual Finometer measurement and compared with DOS fOhb measurement time points for the comparison. Figure 5(b) shows close correlations between fOhb and SV (left y axis) and Q (right y axis) from all ten subjects.

4 Discussion

Advances in methods for early detection of reduced central blood volume resulting from hemorrhage are essential for improvement in civilian and military trauma and critical care. Early changes that have been shown to occur with reduction in central blood volume include reduction in cardiac output and decreased delivery of oxygen in the blood to peripheral tissues. In this study, we were able to demonstrate the ability of noninvasive broadband DOS to detect early changes in central blood volume induced by progressive LBNP. The changes detected correlated closely with independently measured stroke volume changes and with the degree of applied LBNP. The ability of broadband DOS to determine the extent of scattering-induced light attenuation provides the distinct
advantage over existing technologies of quantitatively and continually measuring \([\text{THb}]_2\), \([\text{OHb}]_2\), \([\text{RHb}]_2\), as well as \(S_{\text{O2}}\).

During blood loss events in actual hemorrhage, \([\text{THb}]_2\) measured by broadband DOS using the animal model decreases due to a combination of vasoconstriction and decreased intravascular hematocrit (i.e., intravascular hemoglobin)\(^{31,33}\). While with LBNP-induced decreases in central volume, it is the vasoconstriction component of decreased tissue hemoglobin that can be detected, since intravascular hematocrit does not change significantly. In addition, during hemorrhage, the tissue \([\text{OHb}]_2\) decreases due to vasoconstriction, decreased intravascular hematocrit, and increased conversion of \(\text{OHb}\) to \(\text{RHb}\) by the underperfused tissues. Because DOS measures the average concentration over the region of interrogation, the components in the arterioles, capillaries, and venules are included in the measurement. Contributions from the reduction in intravascular volume from vasoconstriction and the increased conversion of \(\text{OHb}\) to \(\text{RHb}\) are detected.\(^{31}\)

From our previous study, in contrast to \([\text{THb}]_2\) and \([\text{OHb}]_2\), \([\text{RHb}]_2\) levels did not change significantly during progressive reductions in central blood volume, because the decreased deoxyhemoglobin resulting from vasoconstriction (and decreased hematocrit in the case of hemorrhage) is offset by increased deoxyhemoglobin due to increased conversion from oxyhemoglobin to deoxyhemoglobin at the tissue level.\(^{31}\)

Thus, despite some obvious differences between trauma-induced hemorrhage and progressive LBNP, the overall pattern of tissue perfusion effects are similar, and the important early changes in tissue level perfusion effects are shown to be readily detectable by broadband DOS.

A number of other NIR spectroscopic approaches have been developed and tested in hemorrhage and central hypovolemic models. Recently, average tissue hemoglobin oxygen saturation from a commercial continuous-wave NIRS device (Hutchinson Technologies) was compared with a prototype sensor that determines muscle tissue hemoglobin oxygen saturation and muscle oxygen partial pressure determination using the same LBNP hypovolemic model.\(^{15,16}\) The prototype University of Massachusetts Medical School (UMMS) system in the reported studies utilized a dual sensor arrangement and mathematical algorithms to eliminate spectral interference from superficial skin and fat regions, and accounts for the variation in light scattering and water when tissue hemoglobin oxygen saturation is calculated. The UMMS system showed superior sensitivity in detecting early changes in central blood volume compared with standard NIR spectroscopy methods.\(^{15,16}\) On the other hand, broadband DOS simultaneously measures tissue light scattering properties affected by vasoconstriction and changes in intravascular hematocrit, and as a result, absolute concentrations of tissue chromophores ([OHb], [RHb], and [THb]) can be obtained, which is not available with the UMMS system yet. Tissue hemoglobin oxygen saturation \(S_{\text{O2}}\) is calculated from \([\text{OHb}]_2\) and \([\text{THb}]_2\) as well. Quantification of tissue chromophore concentrations
not only renders the composition of probed tissue volumes (via water and lipid fraction), but also allows insights on tissue perfusion from oxyhemoglobin and deoxyhemoglobin separately, rather than tissue oxygen saturation, which is the function of both oxy- and deoxyhemoglobin. This concept is demonstrated in Figs. 4 and 5(a), where fOHb correlates closely with the decrease in SV, despite a smaller dynamic range of StO2 changes that occur when the oxy- and deoxyhemoglobin concentrations change in the same direction.

There are a number of limitations in this study. The current broadband DOS prototype we used is limited by a single source detector reflectance configuration, and it does not separate the effects of superficial skin and fat regions in the interrogation field as with the UMMS system, which might behave very differently during hemorrhage compared to the deeper muscle tissue assessed preferentially by the prototype UMMS system. However, broadband DOS systems with multidistance source/detector probes to make analogous tissue layer corrections are under development. While the LBNP model has been shown to provide extensive real-time, continuous data on changes regarding important physiologic variables, cardiovascular responses to experimentally induced central hypovolemia may be different when compared with physiologic responses to actual severe hemorrhage. As previously discussed, although LBNP causes fluid redistribution from the upper to lower body instead of inducing actual blood loss, previous trials have demonstrated that many of the physiological responses to LBNP are analogous to responses occurring in actual volume loss. The laboratory induction of controlled central hypovolemia assesses reduced central volume without confounding factors such as tissue injury and painful stimuli that might affect peripheral

**Table 2** Simple Pearson correlation coefficients ($r^2$) between LBNP and DOS parameters. Stroke volume (SV) and cardiac output (Q) are from electric impedance measurements.

<table>
<thead>
<tr>
<th></th>
<th>RHb</th>
<th>OHb</th>
<th>StO2</th>
<th>THb</th>
<th>ΔStO2</th>
<th>RHb</th>
<th>OHb</th>
<th>fTHb</th>
</tr>
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<tbody>
<tr>
<td>HR</td>
<td>0.50</td>
<td>0.74</td>
<td>0.82</td>
<td>0.74</td>
<td>0.84</td>
<td>0.77</td>
<td>0.80</td>
<td>0.83</td>
</tr>
<tr>
<td>MAP</td>
<td>0.40</td>
<td>0.57</td>
<td>0.65</td>
<td>0.57</td>
<td>0.67</td>
<td>0.70</td>
<td>0.64</td>
<td>0.68</td>
</tr>
<tr>
<td>SV</td>
<td>0.45</td>
<td>0.90</td>
<td>0.98</td>
<td>0.88</td>
<td>0.98</td>
<td>0.77</td>
<td>0.95</td>
<td>0.96</td>
</tr>
<tr>
<td>Q</td>
<td>0.51</td>
<td>0.94</td>
<td>0.97</td>
<td>0.93</td>
<td>0.97</td>
<td>0.83</td>
<td>0.98</td>
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vascular responses assessed by broadband DOS.

DOS measures tissue-volume-averaged hemoglobin and hemoglobin subfraction concentrations, and thus reflects a combination of tissue vascular volume as well as intravascular hemoglobin concentrations. Thus, in clinical situations that can be frequently encountered in trauma or critical care settings (where changes in vascular volume and intravascular hemoglobin do not always parallel each other), DOS measurements may become difficult to interpret. DOS capabilities would need to be specifically studied in cases where these findings might be expected, such as: 1. when simultaneous vasodilatation from thermal injury or sepsis occurs in the setting of hemorrhage and decreased central volume, or 2. if hypoxemia from lung injury accompanies hemorrhage and resuscitation.

The current system measures only one peripheral site in the current configuration. Regional variability, local traumatic injury, ischemia, or hemorrhage at the site of measurement, or specific organ dysfunction, would not be detected or would otherwise affect measurements with the current design. Future designs of DOS device prototypes with collection in parallel from a number of source/detection channel sites could improve the quality and practicality of multisite data collection.

5 Conclusions

While there are a number of limitations in the current study, these promising initial findings suggest that noninvasive DOS measurements may be useful for detection of early changes occurring during hemorrhage and central hypovolemia. If confirmed in clinical settings, such findings could be used to help identify patients requiring more aggressive intervention. Future studies will be needed to compare the sensitivity and specificity of quantitative noninvasive DOS technologies to current clinical technologies, and to recently described UMMS as complementary or competing methods in actual field settings.

Acknowledgments

The authors thank the subjects for their cheerful cooperation, and Gary Muniz for his engineering and technical assistance during the experiments. This research was supported by funding from the United States Army Medical Research and Materiel Command Combat Casualty Research Program, AF-9550-04-1-0101, FOS-2004-0011A and 0012A, and LAMMP 445474-30136.

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