Infrared Technology and Applications XLIII

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Substrates
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A Word from the Masters

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QWIP and Q-Dots

**Eric M. Costard**, IRnova AB (Sweden)
Photon Detectors

NIR/SWIR FPAs and Applications

InGaAs/InP continues to be the preferred detector type for SWIR operation up to a cutoff of 1.7 µm. These FPAs are now available commercially in formats up to 1280 × 1024 with 10 µm pixels.

For extended SWIR (eSWIR)—with cutoffs to 2.5 µm and beyond—both MCT and Type II Superlattice (T2SL) detectors are being rapidly developed.

InGaAs

One company is improving the performance of its 640 × 512 InGaAs FPAs with 15 µm pixels so that they can compete with Image Intensified Night Vision. The dark current has been reduced to below 2 fA at 20° C in order to save TEC cooling power and a new ROIC having 15-electron readout noise has been designed.

In initial tests under starlight conditions, the FPA is said to perform significantly better than Gen II image intensifiers and comparable to Gen III image intensifiers. An image of two people at a distance of 100 m—under starlight conditions—is shown in Fig. 1.

HgCdTe

One company is using LPE-grown MCT to fabricate 640 × 512, 10 µm pixel eSWIR FPAs with a cutoff of...
2.5 µm to allow a combination of reflective and emissive imaging by also detecting thermal radiation in the eSWIR band.

A comparison of eSWIR, SWIR, MWIR 640 x 512/15 MCT FPAs with an image intensified image under overcast light conditions is shown in Figure 2.

For production, the company expects to use MBE grown MCT on GaAs substrates. A clip-on weapon sight weighing 1.1 kg is being designed with this FPA.

Another company has designed a series of eSWIR cameras based on Hg1-xCd_xTe absorbers. The cameras have a bandpass extending to 3 µm cutoff wavelength, and thus open up new applications beyond those available with traditional InGaAs-based cameras. The cameras also have user-accessible dewars that can be opened and modified in a standard laboratory environment, thus allowing for swapping of internal components such as cold filters and cold stops.

InGaAs/GaAsSb T2SL FPAs with a format of 320 × 256 have been developed and the cutoff has been extended to 2.5 µm. The longer cutoff is advantageous due to the amount of night air glow illumination that exists beyond the 1.7 µm cutoff of ordinary InGaAs.

One company calculated signal-to-noise ratios and contrasts for a T2SL SWIR camera (2.3 µm cutoff) and an InGaAs camera (cutoff 1.7 µm) in a situation in which human skin, clothes and surrounding materials are illuminated only by night airglow and are observed with the SWIR cameras from a distance of 300 m.

The results indicated that (1) the signal-to-noise ratio for the T2SL camera is larger than that for the InGaAs camera, (2) the contrasts of human skin vs surroundings are positive for the T2SL camera, while those for the InGaAs camera are negative, and (3) the operating temperature of the T2SL FPA should be lower than that of the InGaAs FPA.

Researchers at Northwestern University have developed a Ga-free T2SL SWIR detector based on InAs/InAs1-xSb/AlAs1-xSb. At 1.7 µm, the saturated dark current shot noise limited D* was 5.66 × 10^{12} Jones.

Type II superlattice FPAs—VISTA

A full day was given to papers reviewing the large, multi-year VISTA program. An introduction and overview were given by Drs. Don Reago and Meimei Tidrow.

Papers were presented covering the growth of GaSb substrates with increasingly-large diameters up to 5 inches for fabricating a larger number of arrays per wafer processed, and for making larger array sizes in the future. MBE epitaxial growth of the Sb compounds and alloys involved in both type-2 superlattice and barrier detectors technologies was described from several vendors.

Device designs and FPA results were presented in following papers. Fig. 3 shows an image taken with a

![Fig. 3 Image taking from an 11 µm cutoff T2SL barrier infrared detector FPA with 99.4% operability in a 1280 × 720 format.](https://nanolithography.spiedigitallibrary.org/conference-proceedings-of-spie)
barrier detector design in an HD format array. Development of dry-etching technology enabled relatively high fill-factor for small, 12 µm pixels as shown in Fig. 4. Imagery from both bands of a dual-band MWIR/LWIR 1280 × 720 format SLS array with 12 µm pixels produced by the VISTA program is shown in Fig. 5.

Small-pixel HOT arrays were also developed under the VISTA program. Fig. 6 shows 5 µm pixels from part of a large, 1 K × 2 K array. The material is based on superlattice III-V absorbing layers grown by MBE on GaSb substrates. Using sub-frame averaging the NEΔT was measured at <20 mK at 150 K.

All of the papers in sessions 4 and 5 were invited, presentation only. Three authors did submit papers nevertheless and these can be found in the proceedings.

Non-VISTA
Session 6 included Type II superlattice and barrier detectors from other programs. Reports of progress across the range from SWIR to LWIR were given. In one paper the I-V curves were shown for MWIR Type II devices with homo-junctions compared to hetero-junctions—see Fig. 7. Hetero-junctions had higher resistance. A SWIR FPA together with gated imagery was also discussed as illustrated in Fig. 8.

A wide variety of Type II designs were compared with respect to their absorption coefficient and other properties relevant to detector performance. The NEΔT as a function of temperature for a promising design is illustrated in Fig. 9.

MWIR and LWIR Type II detector development was among the topics covered in another report. Improvements in quantum efficiency were achieved and the
A multi-year VLWIR—15 µm cutoff—Type II detector development program is being carried out with the application in mind for FTIR instruments that measure the atmosphere’s vertical temperature and water-vapor profile.

**Substrates**

This session reported on large diameter substrate development in support of both HgCdTe and Sb-based detector materials. CdZnTe boules were grown using the traveling heater method. GaSb boules are now being grown in sizes up to 5 inches. The progression of GaSb wafer size is shown in Fig. 11.

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**Fig. 7** Dark current (black) and photo current (red) density of a homojunction T2SL diode (dashed) and heterojunction diode (solid) with a comparable cut-off wavelength around 5 µm measured at 77 K.

**Fig. 8** Color-coded overlay of the distance dependent recording of a building with the SWIR gated viewing system.

**Fig. 9** Variation of median NEAT with temperature and bias at 2 ms integration time for an MWIR Type II detector design.

**Fig. 10** Spectral response of an LWIR Type II detector having an ~11.5 µm cutoff.

**Fig. 11** Progression of GaSb epiwafer diameter.

spectral response of an LWIR detector with a cutoff of 11.5 µm was present—see Fig. 10.
HgCdTe

Mercury cadmium telluride technology continues to improve in a variety of ways to serve the wide variety of applications that depend upon this material. The first paper in this session discussed improving the response time of TE-cooled LWIR detectors that are important for spectroscopy and laser warning applications. The response time of these detectors revealed complex behavior being dependent on the applied reverse bias, the operating temperature, the absorber thickness and doping, the series resistance and the electrical area of the devices. Fig. 12 shows the configuration of these devices.

HOT 5 µm cutoff MWIR imagers operating at 160 K were described with an emphasis on reduction in size, weight, and power. The 1024 × 768 format camera utilizes 10 µm pixels and fits into a 6 × 6 × 5 cm package as shown in Fig. 13.

A first-principles derivation of an MWIR avalanche photodiode operation was described. Fig. 14 shows the calculated gain as a function of bias.

Arsenic ion-implantation into n-type HgCdTe is one method of diode formation in infrared detectors. Defects caused by this process were reviewed in a paper as a function of the implant energy and subsequent annealing. Fig. 15 show a point defect after 450 keV ion implantation and annealing that may be dominated by vacancies.

A wide-variety of techniques for constructing multi-spectral HgCdTe detectors was reviewed in another paper. These included back-to-back diodes, checkerboard filters and plasmonic resonators.
Several years of significant improvement in detector performance in going from n-on-p polarity diodes to p-on-n polarity was described in detail. A significant improvement was made in dark current, MTF, fixed pattern noise, and random telegraph signal noise—see Fig. 17. The dark current and quantum efficiency were also compared to results with Type II superlattice detectors.

Production scheduling strategies for complex infrared camera systems were discussed in a paper covering the introduction of small 10 µm pixel FPA formats.

The plasma etch rate of HgCdTe was studied at cryogenic temperature in addition to the smoothness of the sidewalls. Mask materials were also examined.

**InSb**

A 1280 × 1024 InSb detector array development program was reported.

**High Operating Temperature (HOT) FPAs**

The goal of increasing the operating temperature of FPAs without sacrificing performance is motivated by the reduction in cooler power, improved cooler efficiency, longer cooler lifetime, smaller imager size, and lighter weight sensor systems that this makes possible. This goal is being pursued using HgCdTe, Type II superlattices, and nBn materials and has relevance especially in the MWIR and LWIR spectral bands.

InSb and XBn detector performance were compared in a paper on MWIR imaging. Uniform detectors have been made using both technologies and Fig. 18 shows that the NEαT for each are similar although the XBn can operate at about twice the temperature of InSb. The XBn devices cover only the blue-portion of the MWIR spectrum—≤ 4.2 µm—and require a longer time to collect enough charge to fill the well to 60%.

A high definition, 1156 × 2040 FPA with 5 µm pixels was described. This device, operating at 150 K, has a cutoff of 5.1 µm with a dark current of 2.3 × 10⁻⁵ A/cm² and 60% quantum efficiency. Operability of 99.9% demonstrates that 5 µm pixels can be fabricated in large arrays with excellent yield.
A large format HgCdTe detector array of 1024 × 768 pixels with a 10 μm pitch was reported with a 5.3 μm cutoff and an NE∆T of 20 mK up to 150 K. Progress was reported in raising the operating temperature for a variety of HgCdTe material and diode types covering both MWIR and LWIR bands.

A paper was presented on nBn MWIR photodetectors based on bulk InAs$_{0.81}$Sb$_{0.19}$ with a >5 μm cutoff operating at higher temperatures than InSb. Fig. 21 shows the dark current and components of the dark current from analysis of the bias dependence. At 150 K the quantum efficiency was 44 % at 3.4 μm and the D* was reported to be $4.3 \times 10^{11}$ Jones at 5 μm.

The superlattice materials can run into difficulties when carriers have difficulty propagating in the direction perpendicular to the alternating superlattice layers. Although the lifetime may be increased, carrier collection at the contacts can be compromised. This issue was discussed in a paper on carrier localization in which “digital” InSb layers were inserted into an InAsSb alloy nBn detector structure.

A high-quantum efficiency MWIR—5 μm cutoff—superlattice detector in an nBp configuration was described having 64 % quantum efficiency at 150 K with a dark current density of $1.1 \times 10^{-4}$ A/cm$^2$. Fig. 22 shows the dark current density as a function of bias at a range of temperatures between 80 and 260 K.

A report on heterojunction bipolar phototransistor modeling presented design criteria aimed at realizing single-photon detection in the SWIR band. The device was fabricated with InP/InGaAs material as shown in Fig 23. Sensitivity and gain issues related to these devices were discussed.
A key advantage of nBn detector structures is the lack of a passivation problem by using the wide-bandgap barrier layer to provide that function. In conventional nBn devices, with the absorber on the bottom, lateral collection can limit the modulation transfer function. A paper was presented demonstrating how this problem can be overcome by inverting the structure. Fig. 24 shows the improvement in dark current density.

**QWIP and colloidal quantum dot (CQD) detectors**

Although a mature and aging technology, quantum well infrared photodetectors (QWIPs) are still evolving, especially to fill niche roles, such as a 10.6 μm laser detector. High-resolution and higher sensitivity advances in this technology were presented. An innovative flexible structure quantum well was developed, and could be physically deformed and even rolled up. This architecture allows increased photon absorption without gratings. The result is increased sensitivity over a broader band, potentially at a lower price.

Perhaps the most promising technology for low-cost, albeit, lower-sensitivity, focal planes are quantum dots. This technology has the promise of very low-cost, high-definition infrared FPAs from SWIR to VLWIR, at higher than typical temperatures. It is unlikely that they will ever achieve the performance of InGaAs, HgCdTe, or InSb, and do require cooling; so they will likely be used in newer applications that require high definition low cost imaging on smaller pixels that doesn’t require extreme sensitivity. Their fundamental properties appear to be potentially game changing as it is what infrared system engineers and customers desire. These properties include small pixel high performance, the lack of difficulty in HD arrays and the size of the ROICS that they are deposited on, and the super low cost, estimated at < $10 per HD array. However, the requirement for cooling and ROIC cost will still make these more expensive than low resolution uncooled, but they may be less expensive than HD uncooled.

Initial characterization and understanding the transport and quantum efficiency barriers is key and papers were presented on such showing substantial progress. Fig. 25 illustrates the capture and transport mechanism of a colloidal dot film. One paper explored unipolar and bipolar QDot doping as well as structures with n-doping of layers, structures with n-doping of barriers, and structures with p-doping of quantum dot layers and n-doping of inter-dot space. Decent 320 × 240 images at 400 mK were shown using a process that is estimated to be less than $5 per FPA using wet chemistry colloidal quantum dots deposited on a ROIC, with a dropper. Figure 26 is an example of an image from a quantum dot FPA.

There seems to be a nomenclature issue in our community, some authors calling this technology: QD (quantum dots), QDots (for quantum dots), QDIPs (Quantum Dot Infrared Photodetectors) and other using a more specific CQD (Colloidal Quantum Dots). Presently, for this embryonic detection technology, we suggest that authors use several acronyms: QD for...
any type of quantum dot—epitaxial or colloidal, CQD for colloidal quantum dot, and QDIP for PC or PV detectors based on QD absorbers. However, we hope in the future for a more accurate and encompassing nomenclature.

**Uncooled Detectors**

There continues to be significant interest in novel absorbers for customizing the spectral and polarization discrimination in low-cost sensors. Reports of progress regarding plasmonic absorption show promise for hyperspectral imaging at the lower end of the performance range. On the other hand, a novel implementation of gold-black coatings in conjunction with a compatible packaging technology offers the possibility of extremely broadband sensing with minimal compromise of response time.

A related topic of recent interest is the use of low-dimensional materials such as graphene and NanoWires (NWs) for infrared detection, including the use of hybrid structures and heterostructures. These proposed devices are somewhat speculative, and generally count on plasmonic structures for adequate infrared absorption. Some of the proposed structures are illustrated conceptually in Fig. 27.

**ROICs**

The Read out Integrated Circuit (ROIC) is increasing its role in system performance, by integrating advanced processing into the unit cell, rather than merely collecting the photo-generated charge. Evolutionary advances in ROICs continues, including advanced integrated analog to digital conversion, programmability and on-chip corrections. A paper was presented about a 0.18 μm process ROIC, with 13-million electron well capacity with programmable features. Additionally, ROICs are being developed for full HD and even larger formats.

**Smart Processing**

In addition to advanced processing on the ROIC, new techniques for infrared imaging and off chip processing also promises great system level advances. In the “Smart Processing” Session there was a paper on a 2.4 megapixel MWIR FPA with large scale over-sampling of the blur spot. The author claimed that 9-to-25 pixels in an optical blur produces optimal imaging in most situations. There was some disagreement on the amount of over-sampling that is optimum, many suggesting a smaller amount.

The paper states that challenges in sub-wavelength pixel FPAs include “pixel transimpedance gains that allow near BLIP operation over expected back-
grounds, the development of low leakage detectors with low lateral diffusion lengths, and high yield detector-to-ROIC integration techniques. The long term vision of spatial over-sampling is that of smaller sub-diffraction size pixel FPAs with larger formats. The smaller pixels will enable shorter focal lengths for a given instantaneous field of view (IFOV), allowing more compact sensors with smaller optics.” An example of a 2.4-megapixel over-sampled image is presented illustrated in Fig. 28.

Applications

Presentations focusing on applications of the various infrared technologies in systems and subsystems were presented in Oral Session 3 and in the Posters session. As is usual in our Proceedings, the Poster papers will appear in their appropriate sections for Oral papers. Applications, being the main drivers for technology R&D, are also referred to in the more technology-focused papers throughout the Proceedings.

The invited session on IR in Air and Space focused almost entirely on spectral and hyper-spectral instrumentation. Two national institutes reviewed their space-borne imaging systems for atmospheric studies. One discussed the use of a curved prism for improving the optical efficiency over the NIR and SWIR spectral bands—see Fig. 29. A Ground Motion Compensation technology was incorporated in order to increase SNR obtained from the Tiangong spacecraft’s hyper-spectral imager.

The other institute presented their MWIR CubeSat Infrared Atmospheric Sounder (CIRAS) which employs an immersion grating spectrometer with no moving parts. The CIRAS instrument outlined in Fig. 30 includes a scan mirror capable of rotating 360° to view Earth, cold space and an internal blackbody for calibration. The optics, detectors and blackbody were discussed in some detail.

While CIRAS’ MWIR spectrometer employs a photon detector based on GaInAsSb/GaAlSbAs semiconductor material and operating at 120 K temperature, a geophysics institute considers making hyper-spectral imaging MWIR measurements from space with an instrument based on an uncooled detector. With recent improvements in microbolometer technology and interferometric techniques, they have investigated the performance of their low mass and low power consumption MIDAS instrument against relatively high...
temperature phenomena such as wildfires and active lavas.

A sensor’s small size and weight are the main design drivers also for a hyper-spectral imager payload mounted on a miniature unmanned aerial vehicle or on a commercial quadcopter. One company presented a new and innovative approach using diffractive micro-optical elements for their infrared hyperspectral imaging spectrometer—see Fig. 31. An application of the sensor for quantifying the flow rate of a gas was demonstrated.

The three remaining application presentations were all ground-oriented. One company presented the considerations involved in replacing classic episcopes/periscopes with cameras and monitors for use as situational awareness systems in battle tanks. Their TIV system is based on the Distributed Aperture System (DAS) principle and results in great weight and volume reduction inside the tank turret.

A university group proposed a novel method for detection of pedestrians at ranges above 50 meters. Data obtained from a LWIR camera was used to define regions of interest (ROI). The detection probability was then increased by classifying the ROIs using transfer learning with a convolutional neural network (CNN) feature, followed by non-maximal suppression (NMS) with strong aspect ratio limitation. Experimental validation tests were described in some detail.

Another academic group has analyzed several landmine detection algorithms as well as their fusion. Their relative performance was determined using signals obtained from an infrared imager. The measurements were made on mines buried at various depths in a sandbox. Effects of changes in the environment, soil and type of land mine were investigated. The most robust algorithm was identified.

A Word from the Masters

Professor Masafumi Kimata of Ritsumeikan University in Japan gave a review of his near forty-years of R&D in the infrared field. The first part of this long and fruitful time was spent in industry where one of his many accomplishments was the development of the World’s-first megapixel IRFPA. Fig. 32 shows the PtSi Schottky-diode ten year pixel-count dominance. He also was instrumental in developing the uncooled SOI FPA—see Fig. 33—which competes with the microbolometer due to better uniformity and lower noise. Japan’s successful Infrared Array Sensor Forum (IRASF) was established and is being chaired by Professor Kimata.
Ian Baker from Leonardo (formerly Selex) discussed his current interests in using state-of-the-art infrared sensors to address science advances in astronomy. A key component of this quest is the electron avalanche photodiode (eAPD). MOCVD material growth has made possible the fabrication of defect-free arrays—see Fig. 34—that are able to meet the requirements for challenging astronomy applications with avalanche gains as high as 500. This enabled adaptive-optic atmospheric correction using dim reference stars for the wavefront sensor in a campaign to image a star passing near a black hole. The technology is currently limited by readout glow. Fig. 35 shows the dark current as a function of bias voltage for a range of operating temperatures. The readout glow limit is shown for reference. Larger arrays and improved performance are in the works for future wavefront sensor missions on the 39 meter European telescope under construction in Chile.

Keynote address

The keynote address reported on infrared detector development in support of China’s weather satellite fleet. Fig. 36 shows an example of imagery from a second-generation earth-imaging instrument. Both HgCdTe and quantum well detector technologies are being pursued for this multi-faceted application. Advances in instruments for the next generation of these satellites were described, including an atmospheric sounder with 16 km spatial resolution for measuring temperature and humidity.

Fig. 36 The global image mosaic from the second-generation Medium-Resolution Spectral Imager (MERSI) of FY-3A observed in 19 July 2008.

Fig. 37 The 3-dimensional temperature profile obtained by geostationary interferometric infrared sounder with 16 km spatial resolution on FY-4.
In remembrance

This year’s Infrared Technology and Applications conference is dedicated to the memory of Joe Yaver—the maker of the modern SPIE—who passed away at the age of 83 last November. Our conference was the brainchild in 1975 of Irv Spiro, a scientist from the Aerospace Corporation in Los Angeles, and Joe Yaver, SPIE’s Executive Director from November 1969 until 1993. Among his many accomplishments we would like to mention one—his launching of the “Technical Symposium Southeast” in Orlando. This Symposium was the precursor to our 2017 Symposium in Anaheim, the “Defense and Commercial Sensing” symposium.

Joe Yaver visiting SPIE Headquarter 2002